

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Richard A. Falk  
Serial No. : 09/991,036  
Filed : 20-Nov-2001  
For : SPOT COLOR PATTERN SYSTEM  
:  
Group Art Unit : 2625  
Examiner : JAMES A. THOMPSON

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
BOX 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submits this Information Disclosure Statement:

☐ under 37 CFR 1.97(b) (within three months of the filing date of a national application; within three months of the date of entry of the national stage in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination, whichever occurs last); or

☒ under 37 CFR 1.97(c) (after the CFR 1.97(b) time period, but before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application) together with:

☐ the statement specified in 37 CFR §1.97(e); or

☒ the fee set forth in 37 CFR §1.17(p); or

☐ under 37 CFR 1.97(d) (after the CFR 1.97(c) time period, but before payment of the issue fee) together with:

☐ the statement specified in 37 CFR §1.97(e); and

☐ the fee set forth in 37 CFR §1.17(p).

Applicant submits herewith PTO Form 1449 together with copies of patents, publications, applications, or other information listed therein. Applicant submits herewith a concise explanation of any patent, publication or other information listed in PTO Form 1449 that is not in the English language.

- ☐ Applicant certifies pursuant to 37 C.F.R. § 1.97(e)(1) that each item of information listed on the attached PTO Form 1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Applicant certifies pursuant to 37 C.F.R. § 1.97(e)(2) that no item of information listed on the attached PTO Form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application. In addition, to the knowledge of the undersigned after making reasonable inquiry, no item of information listed on the attached PTO Form 1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ All of the references have been previously cited in parent application Serial No. \_\_\_\_\_. Accordingly, Applicant has not provided copies of these references as they are readily found in the file of the parent application.

Applicant respectfully requests that the information disclosed herein be made of record in this application.

Respectfully submitted,

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